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Provisional agenda and annotations

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II. Annotations to the provisional agenda

1. Opening of the session

1. The fortieth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be opened by the Chair, Mr. Emmanuel Dumisani Dlamini (Swaziland), on Wednesday, 4 June 2014, at 10 a.m.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

(b) Organization of the work of the session

3. *Background*: SBSTA 40 will be held from 4 to 15 June 2014. Detailed information on the organization of the work of the session will be posted on the SBSTA 40 web page[1] on the UNFCCC website. Delegates are invited to consult this page and to refer to the overview schedule[2] and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBSTA. Items not concluded at SBSTA 40 will be forwarded for consideration at subsequent sessions.

4. The following workshops and events are mandated to take place in conjunction with the session:

(a) An expert meeting on matters relating to non-market-based approaches, such as the joint mitigation and adaptation approaches for integral and sustainable management of forests, to support the implementation of the activities referred to in decision 1/CP.16, paragraph 70;[3]

(b) The research dialogue on developments in research activities relevant to the needs of the Convention;[4]

(c) The 3rd meeting of the structured expert dialogue on the 2013–2015 review;[5]

(d) A technical briefing on the work programme on clarification of the quantified economy-wide emission reduction targets of developed country Parties;[6]

(e) A special event of the Adaptation Committee to showcase its activities and to engage in a dialogue with Parties and other relevant stakeholders;[7]

(f) An Intergovernmental Panel on Climate Change (IPCC) special event on common metrics.[8]

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[1] <www.unfccc.int/8015>.
[2] <www.unfccc.int>.
[3] See paragraph 21 below.
[4] See paragraph 26 below.
[5] See paragraph 31 below.
[6] See paragraph 73 below.
[7] FCCC/SB/2013/2, annex II.
[8] See paragraph 48 below.

5. *Action*: The SBSTA will be invited to agree on the organization of the work of the session.

FCCC/SBSTA/2014/1	*Provisional agenda and annotations. Note by the Executive Secretary*
Further information	*<www.unfccc.int/8015>*

(c) Election of officers other than the Chair

6. *Background*: Pursuant to rule 27 of the draft rules of procedure[9] being applied, the SBSTA shall elect its Vice-Chair and Rapporteur. Consultations on the nomination of the officers of the SBSTA were initiated at SBSTA 39 with the coordinators and chairs of the regional groups and other constituencies, but were not concluded. Consultations will be held during SBSTA 40. The current officers of the SBSTA will remain in office until their successors are elected. Parties are invited to recall decision 23/CP.18 and to give active consideration to the nomination of women for elective posts for any body established under the Convention or its Kyoto Protocol.

7. *Action*: The SBSTA will be invited to elect its Vice-Chair and Rapporteur at the earliest opportunity following the completion of consultations.

Further information	*<www.unfccc.int/6558>*

(d) Election of replacement officers

8. *Background*: Pursuant to Article 15, paragraph 3, of the Kyoto Protocol, when the SBSTA exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a State that is a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and from among the Parties to the Kyoto Protocol. If necessary, further consultations with the coordinators of the regional groups will be undertaken.

9. *Action*: If necessary, the SBSTA will be invited to elect additional officers to replace the Vice-Chair and/or the Rapporteur representing a State that is a Party to the Convention but not a Party to the Kyoto Protocol.

Further information	*<www.unfccc.int/6558>*

3. Nairobi work programme on impacts, vulnerability and adaptation to climate change

10. *Background*: The Conference of the Parties (COP), at its nineteenth session, decided to continue the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP) within the framework of the provisions of decision 2/CP.11, addressing the knowledge needs arising from the Cancun Adaptation Framework and other relevant workstreams and bodies under the Convention and the knowledge needs identified by the Parties. It also recognized that the relevance of the NWP and the effectiveness of its modalities should be enhanced.[10]

11. The COP requested the SBSTA to consider the issues of ecosystems, human settlements, water resources and health in the implementation of the NWP and decided that

[9] FCCC/CP/1996/2.
[10] Decision 17/CP.19.

activities under the work programme should integrate gender issues, indigenous and traditional knowledge, and the role of impacts on ecosystems. The COP further requested the SBSTA to consider and further elaborate activities, including the timing of such activities in the implementation of the NWP and ways to enhance the effectiveness of the modalities of the NWP, at SBSTA 40.

12. The COP invited the Adaptation Committee, in accordance with its mandates and functions, to provide further recommendations for activities to be undertaken under the NWP.[11]

13. As requested at SBSTA 39, the technical expert meeting on best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change, will be held from 1 to 4 April 2014 in Bonn, Germany, in conjunction with the Adaptation Committee's workshop on best practices and needs of local and indigenous communities.[12]

14. *Action*: The SBSTA will be invited to consider and further elaborate additional activities, including the timing of such activities in the implementation of the NWP and ways to enhance the effectiveness of the modalities of the NWP.

FCCC/SBSTA/2014/INF.7	*Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat*
FCCC/SBSTA/2014/INF.11	*Report on the technical expert meeting on best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change. Note by the secretariat*
Further information	*<www.unfccc.int/8036>*

4. Development and transfer of technologies and implementation of the Technology Mechanism

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2013

15. *Background*: As requested at COP 17, the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) prepared a joint annual report[13] for consideration by the COP through the subsidiary bodies.[14]

16. At their thirty-ninth sessions, the SBSTA and the Subsidiary Body for Implementation (SBI) were unable to conclude their consideration of the joint annual report of the TEC and the CTCN. In this context, as requested at COP 19, the SBSTA and the SBI will continue consideration of the joint annual report at their fortieth sessions, with a view

[11] As footnote 10 above.
[12] FCCC/SBSTA/2013/5, paragraph 13(a).
[13] FCCC/SB/2013/1.
[14] Decision 2/CP.17, paragraphs 142 and 143.

to recommending a draft decision on this matter for consideration and adoption at COP 20.[15]

17. *Action*: The SBSTA and the SBI will be invited to conclude their consideration of the joint annual report for 2013 and to recommend a draft decision for consideration and adoption at COP 20.

Further information	*<www.unfccc.int/7000>*

(b) Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention

18. *Background*: SBSTA 39 welcomed the information contained in the third synthesis report,[16] prepared by the secretariat, on technology needs identified by Parties not included in Annex I to the Convention and agreed to continue its consideration of the report at SBSTA 40.[17]

19. *Action*: The SBSTA will be invited to continue its consideration of the synthesis report and to determine any further action arising from it.

Further information	*<http://unfccc.int/ttclear/TNA>*

5. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

20. *Background*: SBSTA 38 noted that non-market-based approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests, as referred to in decision 2/CP.17, paragraph 67, are important to support the implementation of the activities referred to in decision 1/CP.16, paragraph 70. It further noted the need for clarity on types of non-market-based approaches related to the implementation of these activities, and on whether further methodological work could be needed.[18]

21. The SBSTA invited Parties and admitted observer organizations to submit their views on methodological guidance for non-market-based approaches.[19] It requested the secretariat to organize an in-session expert meeting on this matter at SBSTA 40 and to prepare a report on the meeting for consideration at SBSTA 41.[20]

22. SBSTA 38 agreed that it is important to take into account non-carbon benefits when implementing the activities referred to in decision 1/CP.16, paragraph 70. It took note of ongoing work on non-carbon benefits under other international organizations and conventions. The SBSTA further agreed that clarity is needed on the types of non-carbon benefits and associated methodological issues.[21] The SBSTA invited Parties and admitted

[15] FCCC/CP/2013/10, paragraph 75.
[16] FCCC/SBSTA/2013/INF.7.
[17] FCCC/SBSTA/2013/5, paragraphs 39 and 40.
[18] FCCC/SBSTA/2013/3, paragraphs 38 and 39.
[19] FCCC/SBSTA/2013/3, paragraph 40.
[20] FCCC/SBSTA/2013/3, paragraph 41.
[21] FCCC/SBSTA/2013/3, paragraphs 45–47.

observer organizations to submit their views on the issues referred to in decision 1/CP.18, paragraph 40.[22]

23. *Action*: The SBSTA will be invited to continue its consideration of the development of methodological guidance on non-market-based approaches and methodological issues related to non-carbon benefits, taking into consideration the views submitted by Parties and admitted observer organizations referred to in paragraphs 21 and 22 above.

FCCC/SBSTA/2014/MISC.3	*Views on methodological guidance for non-market-based approaches related to the implementation of the activities referred to in decision 1/CP.16, paragraph 70. Submissions from Parties and admitted observer organizations*
FCCC/SBSTA/2014/MISC.4	*Views on the issues referred to in decision 1/CP.18, paragraph 40. Submissions from Parties and admitted observer organizations*
Further information	*<www.unfccc.int/4123>*

6. Research and systematic observation

24. *Background*: SBSTA 37 recalled the conclusions of SBI 24 and concluded that it would continue to focus its consideration on research during the first sessional period of a year and on systematic observation during the second sessional period of a year.[23]

25. SBSTA 38 recalled its conclusions regarding the organization of a workshop[24] to consider information on the technical and scientific aspects of ecosystems with high-carbon reservoirs not covered by other agenda items under the Convention, such as coastal marine ecosystems, in the context of wider mitigation and adaptation efforts, and requested the secretariat to prepare a report on the workshop, to be made available before SBSTA 40.[25]

26. The SBSTA invited Parties to submit their views on possible topics for consideration as part of the research dialogue to be held during SBSTA 40.[26] The SBSTA noted that by SBSTA 40 the IPCC will have released the contributions of Working Groups I, II and III to the IPCC Fifth Assessment Report (AR5) and that these could contribute relevant information to the research dialogue to be held during SBSTA 40.[27] The secretariat will organize the research dialogue,[28] with the participation of Parties and representatives of relevant regional and international research programmes and organizations active in climate change research and the IPCC, taking into account the views referred to above.[29] In addition, relevant research programmes and organizations will provide submissions containing updates of developments in research activities relevant to the needs of the Convention, in response to an invitation from SBSTA 26 to regularly inform the SBSTA of such developments.[30]

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[22] FCCC/SBSTA/2013/3, paragraph 48.
[23] FCCC/SBSTA/2012/5, paragraph 46.
[24] Information on the workshop is available at <http://unfccc.int/7797.php>.
[25] FCCC/SBSTA/2013/3, paragraph 70.
[26] FCCC/SBSTA/2013/3, paragraph 68.
[27] FCCC/SBSTA/2013/3, paragraph 67.
[28] Please consult the Daily Programme for more information on the event.
[29] As soon as submissions are received, they become available on the submission portal at <www.unfccc.int/5900>.
[30] FCCC/SBSTA/2007/4, paragraph 47. See also footnote 29.

27. Also at SBSTA 38, the SBSTA noted that the availability and visibility of scientific information relevant to the Convention on the UNFCCC website has been enhanced, and requested the secretariat to provide a summary report on the work and make it available for consideration at SBSTA 40.[31]

28. *Action*: The SBSTA will be invited to consider the documents prepared for this session and the information received on matters related to research and to determine any further action arising therefrom.

FCCC/SBSTA/2014/INF.1	*Report on the workshop on technical and scientific aspects of ecosystems with high-carbon reservoirs not covered by other agenda items under the Convention. Note by the secretariat*
FCCC/SBSTA/2014/INF.5	*Summary information on enhanced availability and visibility of scientific information relevant to the Convention on the UNFCCC website. Note by the secretariat*
Further information	*<www.unfccc.int/3461>*

7. The 2013–2015 review

29. *Background*: At the invitation of COP 18, the SBSTA and the SBI established a joint contact group to assist the COP in conducting the 2013–2015 review, which is supported by a structured expert dialogue (SED) between Parties and experts, established by the COP to ensure the scientific integrity of this review.[32]

30. SBSTA 39 and SBI 39 welcomed[33] the start of the review and the organization of the 1st and 2nd meetings of the SED,[34] and invited Parties to submit their views on:

(a) The future work of the SED, including the further use of different sources of information;[35]

(b) How the outcomes of the review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP).[36]

31. As requested by the subsidiary bodies,[37] the co-facilitators of the SED will organize the 3rd meeting of the SED in conjunction with the fortieth[38] sessions of the subsidiary bodies to continue to assess the adequacy of the long-term global goal and the progress made towards achieving it[39] on the basis of the contributions of Working Groups II and III

[31] FCCC/SBSTA/2013/3, paragraph 69.
[32] Decision 1/CP.18, paragraphs 80, 85 and 86.
[33] FCCC/SBSTA/2013/5, paragraphs 127 and 128.
[34] Information on the 2nd meeting of the SED, including the agenda, presentations and the summary report, is available at <http://unfccc.int/7521.php>.
[35] FCCC/SBSTA/2013/5, paragraph 135. For the sources of information for the review, see decision 2/CP.17, paragraph 161.
[36] FCCC/SBSTA/2013/5, paragraph 136. The ADP welcomed the conclusions of SBSTA 39 and SBI 39 on the 2013–2015 review (FCCC/ADP/2013/3, paragraph 31).
[37] FCCC/SBSTA/2013/5, paragraph 133.
[38] Please consult the Daily Programme for an up-to-date schedule and more information on this meeting.
[39] Decision 1/CP.18, paragraph 79.

to AR5,[40] other inputs as listed in decision 2/CP.17, paragraph 161, and the views of Parties mentioned in paragraph 30 above.[41]

32. *Action*: The SBSTA and the SBI will be invited to consider this matter and take possible further steps, including on providing further guidance to the SED, capturing the outcomes of the review[42] and informing the work of the ADP,[43] as appropriate.

FCCC/SB/2014/MISC.1	*Views on the future work of the structured expert dialogue, including the further use of different sources of information. Submissions from Parties*
FCCC/SB/2014/MISC.2	*Views on how the outcomes of the 2013–2015 review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action. Submissions from Parties*
Further information	*<www.unfccc.int/6998>, <www.unfccc.int/7521>*

8. Issues relating to agriculture

33. *Background*: An in-session workshop was held at SBSTA 39[44] on the current state of scientific knowledge on how to enhance the adaptation of agriculture to climate change impacts while promoting rural development, sustainable development and productivity of agricultural systems and food security in all countries, particularly in developing countries, taking into account the diversity of agricultural systems and differences in scale, as well as possible adaptation co-benefits.

34. The SBSTA agreed[45] to consider at SBSTA 40 the report on the in-session workshop referred to in paragraph 33 above and the views submitted by Parties and admitted observer organizations, as contained in document FCCC/SBSTA/2013/MISC.17 and Add.1 and 2.

35. *Action*: The SBSTA will be invited to consider the information contained in the documents prepared for the session and to determine any further action, as appropriate.

FCCC/SBSTA/2014/INF.2	*Report on the workshop on the current state of scientific knowledge on how to enhance the adaptation of agriculture to climate change impacts while promoting rural development, sustainable development and productivity of agricultural systems and food security in all countries, particularly in developing countries, taking into account the diversity of the agricultural systems and the differences in scale as well as possible adaptation co-benefits. Note by the secretariat*
Further information	*<www.unfccc.int/7887>*

[40] The contribution of Working Group II to AR5 – on impacts, adaptation and vulnerability – is expected to become available on 29 March 2014. The contribution of Working Group III to AR5 – on mitigation of climate change – is expected to become available on 11 April 2014.

[41] FCCC/SBSTA/2013/5, paragraph 134, and decision 1/CP.18, paragraphs 85 and 86(a).

[42] Decision 1/CP.17, paragraph 164.

[43] Decision 1/CP.17, paragraph 6.

[44] FCCC/SBSTA/2013/5, paragraph 59.

[45] FCCC/SBSTA/2013/5, paragraph 60.

9. Warsaw international mechanism for loss and damage associated with climate change impacts

36. *Background*: COP 19 established the following:

(a) The Warsaw international mechanism for loss and damage,[46] and decided that it shall fulfil the role of promoting the implementation of approaches to address loss and damage associated with the adverse effects of climate change, pursuant to decision 3/CP.18, in a comprehensive, integrated and coherent manner, by undertaking, inter alia, the functions outlined in decision 2/CP.19, paragraph 5;[47]

(b) An executive committee of the Warsaw international mechanism to guide the implementation of the functions of the mechanism,[48] and requested the committee to develop its initial two-year workplan for the implementation of those functions, for consideration by the subsidiary bodies at their forty-first sessions.[49]

37. As requested by the COP, the subsidiary bodies will consider the composition of, and procedures for, the executive committee, and make recommendations thereon for adoption at COP 20.[50] As an interim measure, the COP decided that the executive committee shall consist of two representatives each from the Adaptation Committee, the Least Developed Countries Expert Group, the Standing Committee on Finance, the TEC and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention.[51]

38. *Action*: The subsidiary bodies may wish to initiate their consideration of the composition of, and procedures for, the executive committee, with a view to making recommendations thereon for consideration and adoption at COP 20.

Further information	*<www.unfccc.int/7545>, <www.unfccc.int/6056>*

10. Impact of the implementation of response measures

(a) Forum and work programme

39. *Background*: COP 17 launched a work programme on the impact of the implementation of response measures under the subsidiary bodies.[52] It established a forum to implement the work programme and to provide a platform allowing Parties to share information, experiences, case studies, best practices and views.[53]

40. SBSTA 37 and SBI 37 agreed to consider all presentations, statements made by Parties, organizations and experts, and reports[54] on meetings of the forum at SBSTA 39 and

[46] Decision 2/CP.19, paragraph 1.
[47] Decision 2/CP.19, paragraph 5.
[48] Decision 2/CP.19, paragraph 2.
[49] Decision 2/CP.19, paragraph 9.
[50] Decision 2/CP.19, paragraph 10.
[51] Decision 2/CP.19, paragraph 4. The initial meeting of the executive committee is scheduled to take place from 25 to 28 March 2014.
[52] Decision 8/CP.17, paragraph 1.
[53] Decision 8/CP.17, paragraph 3.
[54] Reports are contained in documents FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10 and FCCC/SB/2013/INF.11.

SBI 39, during the review of the work of the forum, with a view to providing recommendations to COP 19.[55]

41. SBSTA 39 and SBI 39 could not conclude their consideration of the review of the work of the forum and submitted the proposals by Parties for consideration at COP 19. As no agreement could be reached by the COP, it requested the SBSTA and the SBI to continue consideration of this sub-item at their fortieth sessions.[56]

42. *Action*: The SBSTA and the SBI will be invited to complete their consideration on the matters referred to in paragraph 40 above, with a view to providing recommendations to COP 20 on the review of the work of the forum, including the need for its continuation.

FCCC/SB/2014/INF.1	*Report on the in-forum workshop on area (b) of the work programme on the impact of the implementation of response measures. Note by the Chairs of the subsidiary bodies*
Further information	*<www.unfccc.int/4908>*

(b) Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

43. *Background*: SBSTA 39 agreed to consider this matter jointly with the SBSTA and SBI agenda sub-item "Forum and work programme" in a joint SBSTA/SBI forum. The SBSTA agreed to continue consultations at SBSTA 40 on how to take up this matter.[57]

44. *Action*: The SBSTA will be invited to agree on how to take up this matter.

11. Methodological issues under the Convention

(a) Work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties

45. *Background*: SBSTA 37 agreed[58] on the work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties (hereinafter referred to as the review guidelines), in accordance with decision 2/CP.17, paragraph 28. COP 19 adopted guidelines for the review of biennial reports and national communications.[59] The decision contained a placeholder to allow further discussion at SBSTA 40 on the guidelines for the review of national inventories from Parties included in Annex I to the Convention (Annex I Parties) with a view to adopting a decision at COP 20 that will contain the already agreed guidelines for the review of biennial reports and national communications as well as the guidelines for the review of national inventories.

46. SBSTA 39 invited Parties to submit their views on the structure, outline, key elements, including the purpose and scope of the review, timing and reporting, and content of the review guidelines for greenhouse gas inventories.[60] It requested the secretariat to prepare a synthesis report of Parties' views and a draft of the guidelines for the review of national inventories as input to a technical workshop to be held from 8 to 10 April 2014 in

[55] FCCC/SBSTA/2012/5, paragraph 60 and FCCC/SBI/2012/33, paragraph 106.
[56] FCCC/CP/2013/10, paragraphs 49 and 57.
[57] FCCC/SBSTA/2013/5, paragraph 55.
[58] FCCC/SBSTA/2012/5, paragraphs 74–85.
[59] Decision 23/CP.19.
[60] As footnote 29 above.

Bonn. The secretariat will prepare a workshop report[61] and a revised draft of the guidelines for the review of national inventories[62] reflecting the discussions held during the workshop.

47. *Action*: The SBSTA will be invited to consider the information contained in the documents prepared for the session, continue consideration of this item and develop elements of a draft decision on the review guidelines for national inventories from Annex I Parties for further discussion at SBSTA 41, with a view to recommending a draft decision for consideration and adoption at COP 20.

FCCC/SBSTA/2014/INF.3	*Synthesis report on the submissions from Parties of detailed views on the structure, outline and key elements, including the purpose and scope, of the review guidelines for greenhouse gas inventories for Parties included in Annex I to the Convention. Note by the secretariat*
FCCC/SBSTA/2014/INF.4	*Draft revised guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat*
FCCC/SBSTA/2014/INF.9	*Report on the second technical workshop on the draft revised guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat*
FCCC/SBSTA/2014/INF.10	*Draft revised guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat*
FCCC/SBSTA/2014/INF.12	*Draft training programme for review experts for the technical review of information reported under the Convention related to biennial reports and national communications by Parties included in Annex I to the Convention. Note by the secretariat*
Further information	*<www.unfccc.int/7414>*

(b) Common metrics to calculate the carbon dioxide equivalence of greenhouse gases

48. *Background*: SBSTA 36 continued its consideration of common metrics, noted that they are being assessed by the IPCC in the context of its work on the AR5 and acknowledged their relevance to climate change policy. The SBSTA agreed to continue, at SBSTA 40, its consideration of common metrics and invited the IPCC to present its findings on common metrics from the AR5 at this session. The SBSTA requested the secretariat to organize a special event for this purpose.[63]

49. *Action*: The SBSTA will be invited to continue its consideration of this matter and to determine any further action, as appropriate.

Further information	*<www.unfccc.int/6737>*

(c) Emissions from fuel used for international aviation and maritime transport

[61] FCCC/SBSTA/2012/5, paragraph 80.
[62] FCCC/SBSTA/2012/5, annex I.
[63] FCCC/SBSTA/2012/2, paragraph 92.

50. *Background*: SBSTA 39 invited the secretariats of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) to continue to report, at its future sessions, on relevant work in relation to addressing emissions from fuel used for international aviation and maritime transport.[64]

51. *Action*: The SBSTA will be invited to take note of the information contained in the reports provided by the secretariats of ICAO and IMO.

FCCC/SBSTA/2014/MISC.5	*Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations*
Further information	*<www.unfccc.int/1057>*

12. Methodological issues under the Kyoto Protocol

(a) Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol

52. *Background*: Responding to requests made at the seventh[65] and eighth[66] sessions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), and in line with the SBSTA 38 conclusions,[67] SBSTA 39 continued its work on assessing and addressing the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7, as well as those of decision 1/CMP.8, on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol.

53. Following progress achieved at SBSTA 39, CMP 9 adopted decision 6/CMP.9, entitled "Guidance for reporting information on activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol". CMP 9 was unable to conclude its work on other issues relating to the implications referred to in paragraph 52 above. The CMP requested the SBSTA to continue consideration of this agenda sub-item at SBSTA 40[68] on the basis of the draft text available at the end of CMP 9.[69]

54. *Action*: The SBSTA will be invited to continue consideration of the implications referred to in paragraph 52 above on the basis of the draft text referred to in paragraph 53 above, with a view to recommending a draft decision or decisions for consideration and adoption at CMP 10.

<http://unfccc.int/7969.php>	*In-session discussion text relating to item 12(a) of SBSTA 39 and item 3(a) of CMP 9*
Further information	*<www.unfccc.int/1029>*

(b) Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the "average annual emissions for the first three years of the preceding commitment period"

[64] FCCC/SBSTA/2013/5, paragraph 93.
[65] Decision 1/CMP.7, paragraph 9.
[66] Decision 2/CMP.8, paragraphs 6 and 7.
[67] FCCC/SBSTA/2013/3, paragraph 136.
[68] FCCC/KP/CMP/2013/9, paragraph 36.
[69] Available at <http://unfccc.int/7969.php>.

55. *Background*: By decision 1/CMP.8, the CMP adopted an amendment to the Kyoto Protocol (the Doha Amendment). Section G of annex I to decision 1/CMP.8 reads "Article 3, paragraph 7 ter. The following paragraph shall be inserted after paragraph 7 bis of Article 3 of the Protocol: 7 ter. Any positive difference between the assigned amount of the second commitment period for a Party included in the Annex I and average annual emissions for the first three years of the preceding commitment period multiplied by eight shall be transferred to the cancellation account of that Party".

56. Kazakhstan, by a letter dated 28 June 2013, requested clarification on how section G of annex I to decision 1/CMP.8 is to be interpreted, since there may be implications for the application of that provision for Kazakhstan. Kazakhstan requested that an item be added to the provisional agenda for CMP 9 that would clarify the text of Article 3, paragraph 7 ter, (section G) of the Doha Amendment, in particular with regard to what information is to be used to determine the "average annual emissions for the first three years of the preceding commitment period". CMP 9 forwarded this issue to the SBSTA. As SBSTA 39 was unable to conclude its consideration on this matter, the CMP requested SBSTA 40 to continue consideration on this matter.[70]

57. *Action*: The SBSTA will be invited to consider the text referred to in paragraph 56 above, with a view to recommending a draft decision for consideration and adoption at CMP 10.

Further information	*FCCC/KP/CMP/2013/7*

(c) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism

58. *Background*: SBSTA 39 agreed[71] to continue, at SBSTA 40, its consideration of the issues under the work programmes referred to in decision 2/CMP.7, paragraphs 5, 6, 7 and 10. The SBSTA requested the secretariat to prepare a technical paper exploring options for the consideration of possible additional land use, land-use change and forestry activities and alternative approaches to addressing the risk of non-permanence under the clean development mechanism (CDM). Recalling its previous invitation,[72] the SBSTA encouraged[73] Parties and admitted observer organizations to continue submitting their views in response to that invitation.

59. *Action*: The SBSTA will be invited to continue its consideration of issues related to land use, land-use change and forestry, in particular the following:

(a) Matters reflected in decision 2/CMP.7, paragraph 5, and to report to CMP 10 on the outcomes of that consideration;

(b) Matters reflected in decision 2/CMP.7, paragraphs 6, 7 and 10, with a view to forwarding draft decisions on the matter for consideration and adoption at CMP 10.

FCCC/TP/2014/2	*Options for possible additional land use, land-use change and forestry activities and alternative approaches to addressing the risk of non-permanence under the clean development mechanism. Technical paper*

[70] FCCC/KP/CMP/2013/9, paragraph 94.
[71] FCCC/SBSTA/2013/5, paragraph 106.
[72] FCCC/SBSTA/2013/3, paragraph 143.
[73] FCCC/SBSTA/2013/5, paragraph 108.

FCCC/SBSTA/2014/MISC.2	*Views on specific possible additional land use, land-use change and forestry activities and specific alternative approaches to addressing the risk of non-permanence under the clean development mechanism. Submissions from Parties and admitted observer organizations*
Further information	*<www.unfccc.int/1084>*

(d) Implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities

60. *Background*: SBSTA 38[74] considered this agenda sub-item and invited Parties to submit to the secretariat their views on the implications of a possible revision to the eligibility of lands as CDM afforestation and reforestation project activities during the second commitment period of the Kyoto Protocol for consideration at SBSTA 40.

61. *Action*: The SBSTA will be invited to continue its consideration of the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation CDM project activities, taking into account views expressed by Parties in their submissions on the matter.

FCCC/SBSTA/2014/MISC.1	*Views on the implications of a possible revision to the eligibility of lands as clean development mechanism afforestation and reforestation project activities during the second commitment period of the Kyoto Protocol. Submissions from Parties*
Further information	*<www.unfccc.int/1084>*

13. Market and non-market mechanisms under the Convention

(a) Framework for various approaches

62. *Background*: Decision 1/CP.18, paragraphs 41–46, requested the SBSTA to conduct a work programme to elaborate a framework for various approaches with a view to recommending a draft decision to COP 19. SBSTA 38 initiated the work programme and agreed to continue its consideration of the matter at SBSTA 39, with a view to recommending a draft decision for consideration and adoption at COP 19.

63. Prior to SBSTA 39, Parties and admitted observer organizations submitted views on a number of questions as set out in document FCCC/SBSTA/2013/3, paragraph 158(a). The secretariat prepared a technical synthesis[75] on the framework for various approaches, organized a workshop on the framework for various approaches, under the guidance of the Chair of the SBSTA, which was held in Bonn from 7 to 9 October 2013, and prepared a report[76] on the workshop.

64. SBSTA 39 was unable to reach an agreement on this matter and agreed to consider this sub-item at SBSTA 40, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.[77]

[74] FCCC/SBSTA/2013/3, paragraph 151.
[75] FCCC/TP/2013/5.
[76] FCCC/SBSTA/2013/INF.11.
[77] FCCC/SBSTA/2013/5, paragraph 120.

65. *Action*: The SBSTA will be invited to continue work under the work programme to elaborate the framework for various approaches, while addressing the elements as set out in decision 1/CP.18, paragraph 46, and to consider the technical synthesis and the workshop report, with a view to recommending a draft decision for consideration and adoption at COP 20.

Further information	<*www.unfccc.int/7551*>

(b) Non-market-based approaches

66. *Background*: Decision 1/CP.18, paragraph 47, requested the SBSTA to conduct a work programme to elaborate non-market-based approaches and to recommend a draft decision to COP 19.

67. Prior to SBSTA 39, Parties and admitted observer organizations submitted views on a number of questions as set out in document FCCC/SBSTA/2013/3, paragraph 165. The secretariat organized a workshop on non-market-based approaches, under the guidance of the Chair of the SBSTA, which was held in Bonn from 7 to 9 October 2013, and prepared a report[78] on the workshop. SBSTA 39 was unable to reach agreement on this matter and agreed to consider this sub-item at SBSTA 40, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.[79]

68. *Action*: The SBSTA will be invited to continue work under the work programme to elaborate non-market-based approaches and to consider the workshop report, with a view to recommending a draft decision for consideration and adoption at COP 20.

Further information	<*www.unfccc.int/7551*>

(c) New market-based mechanism

69. *Background*: Decision 1/CP.18, paragraph 50, requested the SBSTA to conduct a work programme to elaborate modalities and procedures for the mechanism defined in decision 2/CP.17, paragraph 83, with a view to recommending a draft decision to COP 19. SBSTA 38 initiated the work programme and agreed to continue its consideration of the matter at SBSTA 39, with a view to recommending a draft decision for consideration and adoption at COP 19.

70. Prior to SBSTA 39, Parties and admitted observer organizations submitted views on a number of questions as set out in document FCCC/SBSTA/2013/3, paragraphs 173 and 174. The secretariat prepared a technical synthesis[80] on the new market-based mechanism, organized a workshop on the new market-based mechanism, under the guidance of the Chair of the SBSTA, which was held in Bonn from 7 to 9 October 2013, and prepared a report[81] on the workshop.

71. SBSTA 39 was unable to reach agreement on this matter and agreed to consider this sub-item at SBSTA 40, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.[82]

72. *Action*: The SBSTA will be invited to continue work under the work programme to elaborate the modalities and procedures of the new market-based mechanism, taking into consideration the elements of the work programme set out in decision 1/CP.18, paragraph

[78] FCCC/SBSTA/2013/INF.12.
[79] FCCC/SBSTA/2013/5, paragraph 122.
[80] FCCC/TP/2013/6.
[81] FCCC/SBSTA/2013/INF.13.
[82] FCCC/SBSTA/2013/5, paragraph 124.

51, and to consider the technical synthesis and the workshop report, with a view to recommending a draft decision for consideration and adoption at COP 20.

Further information	*<www.unfccc.int/7551>*

14. Work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties

73. *Background*: SBSTA 39 continued to advance the work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties, as mandated by decision 1/CP.18, paragraph 8. The SBSTA agreed to undertake further work in 2014 on identifying common elements, taking into account those referred to in decision 2/CP.17, paragraph 5, for measuring the progress made towards the achievement of the quantified economy-wide emission reduction targets as contained in document FCCC/SB/2011/INF.1/Rev.1, and on ensuring comparability of efforts among developed country Parties, taking into account differences in their national circumstances. The work programme will continue to be advanced through in-session technical briefings and expert meetings and Parties' deliberations under this agenda item.[83]

74. COP 18 requested the secretariat to update document FCCC/SB/2011/INF.1/Rev.1 following any developed country Party's request to include new information on its target.[84]

75. *Action*: The SBSTA will be invited to take note of the information note and to begin identifying potential outcomes of the work programme to report to COP 20.

FCCC/SBSTA/2014/INF.6	*Compilation of economy-wide emission reduction targets to be implemented by Parties included in Annex I to the Convention. Note by the secretariat*
Further information	*<www.unfccc.int/7884>*

15. Scientific, technical and socioeconomic aspects of mitigation of climate change

76. *Background*: SBSTA 38 agreed to continue its consideration of the scientific, technical and socioeconomic aspects of mitigation at SBSTA 40, taking into account the best available scientific information on mitigation, in particular information from the IPCC and the ongoing work of other bodies under the Convention on related matters.[85]

77. *Action*: The SBSTA will be invited to continue its consideration of this item with a view to agreeing on the next steps.

Further information	*<www.unfccc.int/6111>*

[83] FCCC/SBSTA/2013/5, paragraph 145.
[84] Decision 1/CP.18, paragraph 5.
[85] FCCC/SBSTA/2013/3, paragraph 188.

16. Cooperation with other international organizations

78. *Background*: SBSTA 30 requested the secretariat to prepare, before sessions at which this agenda item is taken up, an information paper summarizing relevant cooperative activities, to enable Parties to comment on the information, as appropriate.[86]

79. The document will update the SBSTA on the cooperative activities of the secretariat with United Nations entities and other intergovernmental organizations that enhance and contribute to the work under the Convention. It includes some information on relevant activities under the respective areas of expertise of other United Nations entities and intergovernmental organizations that support the implementation of decisions taken by Parties under the Convention.

80. *Action*: The SBSTA will be invited to take note of the document prepared for the session.

FCCC/SBSTA/2014/INF.8	*Summary of cooperative activities with United Nations entities and intergovernmental organizations to contribute to the work under the Convention. Note by the secretariat*
Further information	*<www.unfccc.int/2533>*

17. Other matters

81. Any other matters arising during the session will be taken up under this item.

18. Report on the session

82. A draft report on the work of the session will be prepared for consideration and adoption by the SBSTA at the end of the session. The SBSTA will be invited to adopt the draft report and authorize the Rapporteur, Ms. Diana Harutyunyan (Armenia), to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

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[86] FCCC/SBSTA/2009/3, paragraph 128.